



EMKAY TOOLS

FORM NO.MGT-9

EXTRACT OF ANNUAL RETURN

As on the financial year ended on March 31, 2020

[Pursuant to Section 92(1) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. Registration and Other Details

CIN	L29220MH1995PLC091091
Registration Date	27-07-1995
Name of the Company	Emkay Taps and Cutting Tools Limited
Category/Sub-Category of the Company	Company limited by shares / Indian Non-Government Company
Address of the Registered office and contact details	Plot No B-27 and B-27/1, MIDC Hingna, Industrial Estate, Nagpur-440016, Maharashtra Tel.: 07104237584, Email ID: investors@emkaytapsandtools.com Website: www.emkaytapsandtools.com
Whether listed company	Yes
Name, Registered office Address and Contact details of Registrar and Transfer Agent, if any	Bigshare Services Private Limited E/23 Ansa Industrial Estate, Sakhivihar Road, Sakinaka, Andheri (East), Mumbai – 400 072, Maharashtra Tel: 022 62638200 Fax: 022 62638299 Email Id: info@bigshareonline.com Website: www.bigshareonline.com

II. Principal Business Activities of the Company

All the business activities contributing 10% or more of the total turnover of the company shall be stated:

Name and Description of main products/ services	NIC Code of the Product/Service	% to total turnover of the company
Taps and Cutting Tools	28221*	96.98%

*As per Revised National Industrial Classification – 2008

III. Particulars of Holding, Subsidiary and Associate Companies

Sr. No.	Name and address of the Company	CIN/GLN	Holding/ Subsidiary / Associate	% of shares held	Applicable Section
NIL					



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IV. Share Holding Pattern (Equity Share Capital Breakup as Percentage of Total Equity)

i) Category-wise Shareholding

SR NO	Category of Shareholder	No. of Shares held at the beginning of the year: as on 31/03/2019				No. of Shares held at the end of the year: As on 31/03/2020				
		Demat	Physical	Total Shares	Total %	Demat	Physical	Total Shares	Total %	% Change
(A) Shareholding of Promoter and Promoter Group										
1	Indian									
a)	INDIVIDUAL / HUF	6362100	0	6362100	59.62	6062100	0	6062100	56.81	2.81
b)	Central / State government(s)	0	0	0	0.00	0	0	0	0.00	0.00
c)	BODIES CORPORATE	1592700	0	1592700	14.93	1895100	0	1895100	17.76	(2.83)
d)	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0.00	0	0	0	0.00	0.00
e)	ANY OTHERS (Specify)									
1	GROUP COMPANIES	0	0	0	0.00	0	0	0	0.00	0.00
2	DIRECTORS RELATIVES	0	0	0	0.00	0	0	0	0.00	0.00
	SUB TOTAL :	7954800	0	7954800	74.54	7957200	0	7957200	74.57	(0.02)
(A) Shareholding of Promoter and Promoter Group										
2	Foreign									
a)	BODIES CORPORATE	0	0	0	0.00	0	0	0	0.00	0.00
b)	INDIVIDUAL	0	0	0	0.00	0	0	0	0.00	0.00
c)	INSTITUTIONS	0	0	0	0.00	0	0	0	0.00	0.00
d)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.00	0	0	0	0.00	0.00
e)	ANY OTHERS (Specify)	0	0	0	0.00	0	0	0	0.00	0.00
	SUB TOTAL :	0	0	0	0.00	0	0	0	0.00	0.00
	Total Public Shareholding	7954800	0	7954800	74.54	7957200	0	7957200	74.57	(0.02)
(B) Public shareholding										
3	Institutions									
a)	Central / State government(s)	0	0	0	0.00	0	0	0	0.00	0.00
b)	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0.00	0	0	0	0.00	0.00
c)	MUTUAL FUNDS / UTI	0	0	0	0.00	0	0	0	0.00	0.00
d)	VENTURE CAPITAL FUNDS	0	0	0	0.00	0	0	0	0.00	0.00
e)	INSURANCE COMPANIES	0	0	0	0.00	0	0	0	0.00	0.00
f)	FII'S	0	0	0	0.00	0	0	0	0.00	0.00
g)	FOREIGN VENTURE CAPITAL INVESTORS	0	0	0	0.00	0	0	0	0.00	0.00
h)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.00	0	0	0	0.00	0.00
i)	ANY OTHERS (Specify)	0	0	0	0.00	0	0	0	0.00	0.00
j)	FOREIGN PORTFOLIO INVESTOR	0	0	0	0.00	0	0	0	0.00	0.00
k)	ALTERNATE INVESTMENT FUND	0	0	0	0.00	0	0	0	0.00	0.00
	SUB TOTAL:	0	0	0	0.00	0	0	0	0.00	0.00
(B) Public shareholding										
4	Non-institutions									
a)	BODIES CORPORATE	1052400	0	1052400	9.86	1017600	0	1017600	9.54	0.33
b)	INDIVIDUAL									
1	HINDU UNDIVIDED FAMILY	0	0	0	0.00	0	0	0	0.00	0.00
2	(CAPITAL UPTO TO Rs. 1 Lakh)	185100	0	185100	1.73	165900	0	165900	1.55	0.18
3	(CAPITAL GREATER THAN Rs. 1 Lakh)	1478400	0	1478400	13.85	1528200	0	1528200	14.32	(0.47)



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SR NO	No. of Shares held at the beginning of the year: as on 31/03/2019				No. of Shares held at the end of the year: as on 31/03/2020					
	Category of Shareholder	Demat	Physical	Total Shares	Total %	Demat	Physical	Total Shares	Total %	% Change
c)	ANY OTHERS (Specify)									
1	TRUSTS	0	0	0	0.00	0	0	0	0.00	0.00
2	CLEARING MEMBER	600	0	600	0.01	2400	0	2400	0.02	(0.02)
3	NON-RESIDENT INDIANS (NRI)	0	0	0	0.00	0	0	0	0.00	0.00
4	NON-RESIDENT INDIANS (REPAT)	0	0	0	0.00	0	0	0	0.00	0.00
5	NON-RESIDENT INDIANS (NON REPAT)	0	0	0	0.00	0	0	0	0.00	0.00
6	DIRECTORS RELATIVES	0	0	0	0.00	0	0	0	0.00	0.00
7	EMPLOYEE	0	0	0	0.00	0	0	0	0.00	0.00
8	OVERSEAS BODIES CORPORATES	0	0	0	0.00	0	0	0	0.00	0.00
9	UNCLAIMED SUSPENSE ACCOUNT	0	0	0	0.00	0	0	0	0.00	0.00
10	IEPF	0	0	0	0.00	0	0	0	0.00	0.00
d)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.00	0	0	0	0.00	0.00
e)						0	0	0	0.00	0.00
	SUB TOTAL :	2716500	0	2716500	25.46	2714100	0	2714100	25.43	0.02
	Total Public Shareholding	2716500	0	2716500	25.46	2714100	0	2714100	25.43	0.02
(C) Shares held by Custodians and against which Depository Receipts have been issued										
5										
f)	SHARES HELD BY CUSTODIANS	0	0	0	0.00	0	0	0	0.00	0.00
11	Promoter and Promoter Group	0	0	0	0.00	0	0	0	0.00	0.00
12	Public	0	0	0	0.00	0	0	0	0.00	0.00
	SUB TOTAL :	0	0	0	0.00	0	0	0	0.00	0.00
	Total Public Shareholding	0	0	0	0.00	0	0	0	0.00	0.00
	GRAND TOTAL	10671300	0	10671300	100.00	10671300	0	10671300	100.00	(0.00)

ii) Shareholding of Promoters

Sr. No.	Shareholder's Name	No. of Shares held at the beginning of the year (As on March 31, 2019)			No. of shares held at the end of the year (As on March 31, 2020)			% change during the year*
		No. of Shares	% of total Shares of the Company	%of Shares Pledged /encumbered to total shares	No. of Shares	% of total Shares of the Company	%of Shares Pledged /encumbered to total shares	
1.	Ajayprakash Kanoria	614250	05.76	0	614250	05.76	0	0.00
2.	Ajayprakash Kanoria HUF	4622160	43.31	0	4322160	40.50	0	-2.81
3.	Alka Kanoria	1124190	10.54	0	1124190	10.54	0	0.00
4.	Nagpur Tools Pvt. Ltd.	1591200	14.91	0	1893600	17.75	0	2.84
5.	Adishree Engineering Pvt. Ltd.	1500	0.01	0	1500	0.01	0	0.00
6.	Apoorvashree Kanoria	1500	0.01	0	1500	0.01	0	0.00
	Total	7954800	74.54	0	0	74.57	0	0.03



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iii) Movement in Promoter's Shareholding

SR NO	Name	Shareholding		Date	Increase/ Decrease in Shareholding	Reason	Cumulative Shareholding during the year	
		No. of Shares at the Beginning (01.04.2019/ end of year 31.03.2020)	% total Shares of the Company				No of Shares	% total Shares of the Company
1	AJAYPRAKASH MURLIDHAR KANORIA HUF	4622160	43.31	30-Mar-2019			4622160	43.31
			41.26	28-Feb-2020	-2,19,000	Sell	4403160	41.26
			40.50	06-Mar-2020	-81,000	Sell	4322160	40.50
		4322160	40.50	31-Mar-2020			4322160	40.50
2	NAGPUR TOOLS PRIVATE LIMITED	1591200	14.91	30-Mar-2019			1591200	14.91
			15.85	28-Feb-2020	1,00,200	Buy	1691400	15.85
			17.74	06-Mar-2020	2,02,200	Buy	1893600	17.74
		1893600	17.74	31-Mar-2020			1893600	17.74
3	ALKA AJAYPRAKASH KANORIA	1124190	10.53	30-Mar-2019			1124190	10.53
		1124190	10.53	31-Mar-2020			1124190	10.53
4	AJAYPRAKASH MURLIDHAR KANORIA	614250	5.76	30-Mar-2019			614250	5.76
		614250	5.76	31-Mar-2020			614250	5.76
5	APOORVASHREE KANORIA	1500	0.01	30-Mar-2019			1500	0.01
		1500	0.01	31-Mar-2020			1500	0.01
6	ADISHREE ENGG PVT LTD	1500	0.01	30-Mar-2019			1500	0.01
		1500	0.01	31-Mar-2020			1500	0.01

iv) Shareholding Pattern of top ten Shareholders (Other than Directors, Promoters and promoter Group and Holders of GDRs and ADRs)

SR NO	Name	Shareholding		Date	Increase/ Decrease in Share holding	Reason	Cumulative Shareholding during the year	
		No. of Shares at the Beginning (01.04.2018/ end of year31.03.2019)	% total Shares of the Company				No of Shares	% total Shares of the Company
1	SUDARSHAN TRADING AND MANUFACTURING PRIVATE LIMITED	1034400	9.69	30-Mar-2019			1,034,400	9.69
			9.69	13-Dec-2019	-600	Sell	1,033,800	9.69
			9.72	20-Dec-2019	3,600	Buy	1,037,400	9.72
			9.73	27-Dec-2019	1,200	Buy	1,038,600	9.73
			9.73	31-Dec-2019	-600	Sell	1,038,000	9.73
			9.72	17-Jan-2020	-1,200	Sell	1,036,800	9.72
			9.71	31-Jan-2020	-600	Sell	1,036,200	9.71
			9.70	07-Feb-2020	-600	Sell	1,035,600	9.70
			9.69	14-Feb-2020	-1,200	Sell	1,034,400	9.69
			9.09	06-Mar-2020	-64,200	Sell	970,200	9.09
			9.07	13-Mar-2020	-2,400	Sell	967,800	9.07
			8.59	20-Mar-2020	-51,000	Sell	916,800	8.59
		916800	8.59	31-Mar-2020			916,800	8.59



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SR NO	Name	Shareholding		Date	Increase/ Decrease in Share holding	Reason	Cumulative Shareholding during the year	
		No. of Shares at the Beginning (01.04.2018/ end of year31.03.2019)	% total Shares of the Company				No of Shares	% total Shares of the Company
2	RAHIM MANSOOR KHAN	517800	4.85	30-Mar-2019			517,800	4.85
			4.85	23-Aug-2019	-600	Sell	517,200	4.85
			4.86	17-Jan-2020	1,200	Buy	518,400	4.86
			4.87	24-Jan-2020	1,800	Buy	520,200	4.87
			4.89	31-Jan-2020	1,800	Buy	522,000	4.89
			4.90	14-Feb-2020	1,200	Buy	523,200	4.90
			3.80	28-Feb-2020	-118,200	Sell	405,000	3.80
			1.93	06-Mar-2020	-199,200	Sell	205,800	1.93
		205800	1.93	31-Mar-2020		205,800	1.93	
3	SHILPA MALOO	40800	0.38	30-Mar-2019			40,800	0.38
			0.94	28-Feb-2020	60,000	Buy	100,800	0.94
			2.71	06-Mar-2020	188,400	Buy	289,200	2.71
			3.57	13-Mar-2020	91,800	Buy	381,000	3.57
			3.59	20-Mar-2020	1,800	Buy	382,800	3.59
		382800	3.59	31-Mar-2020		382,800	3.59	
4	NAGINADEVI BRAJKISHORE AGRAWAL	244800	2.29	30-Mar-2019			244,800	2.29
			2.29	31-Mar-2020			244,800	2.29
5	BRAJKISHORE H AGRAWAL	180000	1.69	30-Mar-2019			180,000	1.69
			1.69	31-Mar-2020			180,000	1.69
6	NIKHIL S AGRAWAL .	134400	1.26	30-Mar-2019			134,400	1.26
			1.26	31-Mar-2020			134,400	1.26
7	RAVINDRA RAMESH MALOO	84000	0.79	30-Mar-2019			84,000	0.79
			0.79	31-Mar-2020			84,000	0.79
8	PRIYA VINIYOG PVT LTD	0	0.00	30-Mar-2019		Sell		0.00
			0.28	13-Mar-2020	30,000	Buy	30,000	0.28
			0.65	20-Mar-2020	39,000	Buy	69,000	0.65
		69000	0.65	31-Mar-2020		69,000	0.65	
9	ANUJ SHANTILAL BADIJATE	43200	0.40	30-Mar-2019			43,200	0.40
			0.00	06-Mar-2020	-43,200	Sell		0.00
			0.40	31-Mar-2020	43,200	Buy	43,200	0.40
		43200	0.40	31-Mar-2020		43,200	0.40	
10	SHASHI BHUSHAN O AGRAWAL	20400	0.19	30-Mar-2019			20,400	0.19
			0.20	31-May-2019	1,088	Buy	21,488	0.20
			0.21	07-Jun-2019	712	Buy	22,200	0.21
			0.21	14-Jun-2019	600	Buy	22,800	0.21
			0.22	21-Jun-2019	600	Buy	23,400	0.22
			0.26	28-Jun-2019	4,200	Buy	27,600	0.26
			0.26	05-Jul-2019	600	Buy	28,200	0.26
			0.27	12-Jul-2019	600	Buy	28,800	0.27
			0.28	30-Aug-2019	600	Buy	29,400	0.28
			0.28	06-Dec-2019	600	Buy	30,000	0.28
		0.29	13-Dec-2019	1,200	Buy	31,200	0.29	



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SR NO	Name	Shareholding		Date	Increase/ Decrease in Share holding	Reason	Cumulative Shareholding during the year	
		No. of Shares at the Beginning (01.04.2018/ end of year31.03.2019)	% total Shares of the Company				No of Shares	% total Shares of the Company
			0.30	20-Dec-2019	600	Buy	31,800	0.30
			0.31	03-Jan-2020	1,200	Buy	33,000	0.31
			0.31	10-Jan-2020	600	Buy	33,600	0.31
			0.32	14-Feb-2020	600	Buy	34,200	0.32
			0.34	21-Feb-2020	1,800	Buy	36,000	0.34
			0.34	28-Feb-2020	600	Buy	36,600	0.34
			0.35	06-Mar-2020	600	Buy	37,200	0.35
			0.35	27-Mar-2020	600	Buy	37,800	0.35
		37800	0.35	31-Mar-2020			37,800	0.35
11	VINOD LODHA	28200	0.26	30-Mar-2019			28,200	0.26
			0.25	28-Jun-2019	-1,200	Sell	27,000	0.25
			0.25	13-Dec-2019	-600	Sell	26,400	0.25
			0.24	10-Jan-2020	-600	Sell	25,800	0.24
			0.24	17-Jan-2020	-600	Sell	25,200	0.24
			0.22	24-Jan-2020	-1,200	Sell	24,000	0.22
			0.22	21-Feb-2020	-600	Sell	23,400	0.22
			0.21	28-Feb-2020	-600	Sell	22,800	0.21
		22800	0.21	31-Mar-2020			22,800	0.21
12	TUSHAR SHANTILAL LUNKAD	24000	0.22	30-Mar-2019			24,000	0.22
		24000	0.22	31-Mar-2020			24,000	0.22
TOTAL		4697400.00			-6,600		21,070,688	

v) Shareholding of Directors and Key Managerial Personnel (KMPs)

Sr. No.	Name	Shareholding at the beginning of the year i.e. as on 01-04-18		Date of allotment	Increase/ Decrease in share-Holding during the year	Reason	Cumulative Shareholding during the year (2019-20)	
		No. of Shares	% of total shares of the Company				No. of Shares	% of total Shares of the Company
A.	DIRECTORS							
1.	Ajayprakash Kanoria	614250	5.76	-	-	-	614250	5.76
2.	Alka Kanoria	1124190	10.53	-	-	-	1124190	10.53
B.	KEY MANAGERIAL PERSONNEL (KMP): NIL							



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vi) Indebtedness

Indebtedness of the Company, including interest outstanding/ accrued but not due for payment

Particulars	Amount (₹ In crores)			
	Secured loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year (01.04.2019)				
i) Principal Amount	2.8535	0.0141	0.0000	2.8676
ii) Interest due but not paid	0.0000	0.0000	0.0000	0.0000
iii) Interest accrued but not due	0.0000	0.0000	0.0000	0.0000
TOTAL (i +ii + iii)	2.8535	0.0141	0.0000	2.8676
Addition	74.5989	0.0000	0.0000	74.5989
Reduction	74.0926	0.0141	0.0000	74.1067
Net Change	0.5063	(0.0141)	0.0000	0.4922
i) Principal Amount	3.3598	0.0000	0.0000	3.3598
ii) Interest due but not paid	0.0000	0.0000	0.0000	0.0000
iii) Interest accrued but not due	0.0000	0.0000	0.0000	0.0000
TOTAL (i +ii+ iii)	3.3598	0.0000	0.0000	3.3598

Note: Above figures include balances of cash credit account availed from ICICI Bank during F.Y. 2019-20

vii) Remuneration of Directors and Key Managerial Personnel

A. Remuneration to Managing Director, Whole-time Directors and/or Manager

Sr. No.	Particulars of Remuneration	Name of MD/WTD/Manager		Total Amount (₹)
		Ajayprakash Kanoria	Alka Kanoria	
1.	Gross Salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	3,600,000.00	1,200,000.00	4,800,000.00
	(b) Value of perquisites u/s. 17(2) of the Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) of the Income-tax Act, 1961	-	-	-
2.	Stock Option	-	-	-
3.	Sweat Equity	-	-	-
4.	Commission			
	- as % of Profit	-	-	-
	- Others	-	-	-
5.	Others: % of Net Profit	5,612,720.00	3,741,810.00	9354530.00
	TOTAL (A)	9,212,720.00	4,941,810.00	14,154,530.00

**EMKAY TOOLS****B. Remuneration to other directors**

Sr. No.	Name of Director	Particulars of Remuneration		Total Amount Paid (₹)
		Fee for attending Board / Committee Meetings	Commission Others, if any specify	
1.	Independent Directors			
a.	Mahesh Mor	20,000.00	0.00	20,000.00
b.	Ravindra Loiya	10,000.00	0.00	10,000.00
c.	P.C. Ramchandran	13,500.00	0.00	13,500.00
	Total (1)	43,500.00	0.00	43,500.00
2.	Other Non-Executive Directors		NA	
	Total (2)	0.00	0.00	0.00
Total (B) = (1+2)		43,500.00	0.00	43,500.00
Total Managerial Remuneration		(A+B)	14,198,030.00	
Overall Ceiling as Per the Companies Act, 2013 (As per section 197 and 198)		11% of Net Profit	19,129,666.65	

C. Remuneration to Key Managerial Personnel Other than MD/Manager/WTD

Particulars of Remuneration	Key Managerial Personnel Amount in Lakhs (₹)		
	Shruti Sohane, CS	Vishnu Sontakke, CFO	Total
Gross salary	3.68	5.75	9.43
Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
Stock Option	-	-	-
Sweat Equity			
Commission			
As % of profit	-	-	-
Others, specify	-	-	-
TOTAL	3.68	5.75	9.43

viii) Penalties / Punishment / Compounding of Offences

Type	Section of the Companies Act	Brief Description	Details of Penalty/ punishment/Compounding fees	Authority [RD/NCLT/ COURT]	Appeal made, if any
A. COMPANY					
Penalty					
Punishment					
Compounding			NIL		
B. DIRECTORS					
Penalty					
Punishment					
Compounding			NIL		
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding			NIL		
