



EMKAY TOOLS

FORM NO.MGT-9

EXTRACT OF ANNUAL RETURN

As on the financial year ended on March 31, 2019

[Pursuant to Section 92(1) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. Registration and Other Details

CIN	L29220MH1995FLC091091
Registration Date	27-07-1995
Name of the Company	Emkay Taps and Cutting Tools Limited
Category/Sub-Category of the Company	Company limited by shares / Indian Non-Government Company
Address of the Registered office and contact details	Plot No B-27 and B-27/1, MIDC Hingna, Industrial Estate, Nagpur-440016, Maharashtra Tel.: 07104237584, Email ID: <a href="mailto:investors@emkaytapsandtools.com">investors@emkaytapsandtools.com</a> Website: <a href="http://www.emkaytapsandtools.com">www.emkaytapsandtools.com</a>
Whether listed company	Yes
Name, Registered office Address and Contact details of Registrar and Transfer Agent, if any	Bigshare Services Private Limited E/23 Ansa Industrial Estate, Sakhivihar Road, Sakinaka, Andheri (East), Mumbai – 400 072, Maharashtra Tel: 022 62638200 Fax : 022 62638299 Email Id: <a href="mailto:info@bigshareonline.com">info@bigshareonline.com</a> Website: <a href="http://www.bigshareonline.com">www.bigshareonline.com</a>

II. Principal Business Activities of the Company

All the business activities contributing 10% or more of the total turnover of the company shall be stated:

Name and Description of main products/ services	NIC Code of the Product/Service	% to total turnover of the company
Taps and Cutting Tools	28221*	97.95%

\*As per Revised National Industrial Classification – 2008

III. Particulars of Holding, Subsidiary and Associate Companies

Sr. No.	Name and address of the Company	CIN/GLN	Holding/ Subsidiary / Associate	% of shares held	Applicable Section
NIL					

**IV. Share Holding Pattern (Equity Share Capital Breakup As Percentage Of Total Equity)**
**i) Category-wise Shareholding**

Category of Shareholders	No. of Shares held at the beginning of the year (As on March 31, 2018)				No. of shares held at the end of the year (As on March 31, 2019)				% change during the year*
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
<b>(1) Indian</b>									
a) Individual/ HUF	1060350	0	1060350	59.62	6362100	0	6362100	59.62	0.00
b) Central Govt.	0	0	0	0.00	0	0	0	0.00	0.00
c) State Govt.(s)	0	0	0	0.00	0	0	0	0.00	0.00
d) Bodies Corporate	265450	0	265450	14.93	1592700	0	1592700	14.93	0.00
e) Banks/FI	0	0	0	0.00	0	0	0	0.00	0.00
f) Any other	0	0	0	0.00	0	0	0	0.00	0.00
Sub-total (A) (1)	1325800	0	1325800	74.54	7954800	0	7954800	74.54	0.00
<b>(2) Foreign</b>									
a) NRIs Individuals	0	0	0	0.00	0	0	0	0.00	0.00
b) Other Individuals	0	0	0	0.00	0	0	0	0.00	0.00
c) Bodies Corp.	0	0	0	0.00	0	0	0	0.00	0.00
d) Banks/FI	0	0	0	0.00	0	0	0	0.00	0.00
e) Any Other	0	0	0	0.00	0	0	0	0.00	0.00
Sub-total (A) (2)	0	0	0	0.00	0	0	0	0.00	0.00
<b>Total shareholding Of Promoter (A)=(A1)+(A2)</b>	<b>1325800</b>	<b>0</b>	<b>1325800</b>	<b>74.54</b>	<b>7954800</b>	<b>0</b>	<b>7954800</b>	<b>74.54</b>	<b>0.00</b>
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>									
a) Mutual Funds	0	0	0	0.00	0	0	0	0.00	0
b) Banks/ FI	0	0	0	0.00	0	0	0	0.00	0
c) Central Govt.	0	0	0	0.00	0	0	0	0.00	0
d) State Govt.(s)	0	0	0	0.00	0	0	0	0.00	0
e) Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0
f) Insurance Companies	0	0	0	0.00	0	0	0	0.00	0
g) FIs	0	0	0	0.00	0	0	0	0.00	0
h) Foreign Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0
i) Others (specify)	0	0	0	0.00	0	0	0	0.00	0
Sub-total (B) (1)	0	0	0	0.00	0	0	0	0.00	0
<b>Non-Institutions</b>									
<b>a) Bodies Corporate</b>									
i) Indian	189600	0	189600	10.66	1052400	0	1052400	9.86	(0.8)
ii) Overseas	0	0	0	0.00	0	0	0	0	0.00
<b>i) Individual Shareholders holding Nominal Share Capital up to `1 Lakh</b>									
ii) Individual Shareholders holding Nominal Share Capital in excess of `1Lakh	154000	0	154000	8.66	1478400	0	1478400	13.85	5.20

c) Others									
i) Foreign Bodies/QFF's	0	0	0	0.00	0	0	0	0.00	0
ii) Non-banking financing company	0	0	0	0.00	0	0	0	0.00	0
iii) Non-resident India									
- NRI's	0	0	0	0.00	0	0	0	0.00	0
- REPEAT	0	0	0	0.00	0	0	0	0.00	0
- NON-REPEAT	400	0	400	0.02	0	0	0	0	(0.02)
iv) Trusts	0	0	0	0.00	0	0	0	0.00	0
v) IEF	0	0	0	0.00	0	0	0	0.00	0
vi) Directors Relatives	0	0	0	0.00	0	0	0	0.00	0
vii) Employee	0	0	0	0.00	0	0	0	0.00	0
viii) Clearing Member	8000	0	8000	0.45	600	0	0	0.0056	(0.44)
Sub-total (B)(2)	452750	0	452750	25.46	2716500	0	2716500	25.46	0.00
Total Public Shareholding (B)=(B1)+(B2)	452750	0	452750	25.46	2716500	0	0	25.46	0.00
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0.00	0	0	0	0.00	0
<b>Grand Total (A+B+C)</b>	<b>1778550</b>	<b>0</b>	<b>1778550</b>	<b>100.00</b>	<b>10671300</b>	<b>0</b>	<b>10671300</b>	<b>100.00</b>	<b>(0.00)</b>

### ii) Shareholding of Promoters

Sr. No.	Shareholder's Name	No. of Shares held at the beginning of the year (As on March 31, 2018)			No. of shares held at the end of the year (As on March 31, 2019)			% change during the year*
		No. of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	
1.	Ajayprakash Kanoria	102375	05.76	0	614250	05.76	0	0.00
2.	Ajayprakash Kanoria HUF	770360	43.31	0	4622160	43.31	0	0.00
3.	Alka Kanoria	187365	10.53	0	1124190	10.53	0	0.00
4.	Nagpur Tools Pvt. Ltd.	265200	14.91	0	1591200	14.91	0	0.00
5.	Adishree Engineering Pvt. Ltd.	250	0.01	0	1500	0.01	0	0.00
6.	Apoorvashree Kanoria	250	0.01	0	1500	0.01	0	0.00
	<b>Total</b>	<b>1325800</b>	<b>74.53</b>	<b>74.53</b>	<b>7954800</b>	<b>74.53</b>	<b>0</b>	<b>0.00</b>

### iii) Change in Promoter's Shareholding

Name	Shareholding at the beginning of the year (As on 01-04-2018)		Cumulative Shareholding during the year (01-04-2018 to 31-03-2019)				
	No. of Shares	% of total shares of the company	Date of allotment	Increase/ Decrease in Shareholding	Reason for Increase	No. of Shares	% of total shares of the company
Ajayprakash Kanoria	102375	05.76	27.09.2018	511875	Bonus shares	614250	5.76
Ajayprakash Kanoria HUF	770360	43.31		3851800		4622160	43.31
Alka Kanoria	187365	10.53		936825		1124190	10.53
Nagpur Tools Pvt. Ltd.	265200	14.91		1326000		1591200	14.91
Adishree Engineering Pvt. Ltd.	250	0.01		1250		1500	0.01
Apoorvashree Kanoria	250	0.01		1250		1500	0.01

iv) Shareholding Pattern of top ten Shareholders (Other than Directors, Promoters and promoter Group and Holders of GDRs and ADRs)

Sr. No.	Name	Shareholding at the beginning of the year (As on April 1, 2018)		Cumulative Shareholding during the year (April 1, 2018 to March 31, 2019)	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
<b>1. SUDARSHAN TRADING AND MANUFACTURING PVT. LTD.</b>					
	At the beginning of the Year	166800	9.38	166800	9.38
	Add: Purchase of shares during the year (Market Purchase)	0	0.00	6400	0.060
	Add: Bonus shares	0	0.00	862000	0.00
	Less: Sale of Shares during the year (Market sale)/Bonus issue	0	0.00	(800)	(0.007)
	At the End of the Year	166800	9.38	1034400	9.69
<b>2. RAHIM KHAN</b>					
	At the beginning of the Year	0	0.00	0	0.00
	Add: Purchase of shares during the year (Market Purchase)	0	0.00	97600	5.04
	Add: Bonus shares	0	0.00	428000	0.00
	Less: Sale of Shares during the year (Market sale)/Bonus issue	0	0.00	(7800)	(0.18)
	At the End of the Year	0	0.00	517800	4.85
<b>3. NAGINADEVI AGRAWAL</b>					
	At the beginning of the Year	41200	2.32	41200	2.32
	Add: Purchase of shares during the year (Market Purchase)	0	0.00	0	0.00
	Add: Bonus shares	0	0.00	204000	0.00
	Less: Sale of Shares during the year (Market sale)	0	0.00	(400)	(0.02)
	At the End of the Year	41200	2.32	244800	2.29
<b>4. BRAJKISHORE AGRAWAL</b>					
	At the beginning of the Year	51200	2.88	51200	2.88
	Add: Purchase of shares during the year (Market Purchase)	0	0.00	0	0.00
	Add: Bonus shares	0	0.00	150000	0.00
	Less: Sale of Shares during the year (Market sale)	0	0.00	(21200)	(1.19)
	At the End of the Year	51200	2.88	180000	1.69
<b>5. NIKHIL SHASHI AGRAWAL</b>					
	At the beginning of the Year	22,400	1.26	22,400	1.26
	Add: Purchase of shares during the year (Market Purchase)	0	0.00	0	0.00
	Add: Bonus shares	0	0.00	112000	0.00
	Less: Sale of Shares during the year (Market sale)	0	0.00	0	0.00
	At the End of the Year	22,400	1.26	134400	1.26
<b>6. RAVINDRA RAMESH MALOO</b>					
	At the beginning of the Year	400	0.02	400	0.02
	Add: Purchase of shares during the year (Market Purchase)	0	0.00	25600	0.77
	Add: Bonus shares	0	0.00	58000	0.00
	Less: Sale of Shares during the year (Market sale)	0	0.00	0	0.00
	At the End of the Year	400	0.02	84000	0.79



**EMKAY TOOLS**

Sr. No.	Name	Shareholding at the beginning of the year (As on April 1, 2018)		Cumulative Shareholding during the year (April 1, 2018 to March 31, 2019)	
		No. of shares	% of total shares of the company	No. of shares	% *of total shares of the company
<b>7.</b>	<b>ANUJ SHANTILAL BADJATE</b>				
	At the beginning of the Year	7200	0.41	7200	0.41
	Add: Purchase of shares during the year (Market Purchase)	0	0.00	0	0.00
	Add: Bonus shares	0	0.00	36000	0.00
	Less: Sale of Shares during the year (Market sale)	0	0.00	0.00	0.00
	At the End of the Year	7200	0.41	43200	0.41
<b>8.</b>	<b>SHILPA MALOO</b>				
	At the beginning of the Year	1600	0.09	1600	0.09
	Add: Purchase of shares during the year (Market Purchase)	0	0.00	10800	0.84
	Add: Bonus shares	0	0.00	30000	0.00
	Less: Sale of Shares during the year (Market sale)	0	0.00	(1600)	(0.09)
	At the End of the Year	1600	0.09	40800	0.38
<b>9.</b>	<b>VINOD LODHA</b>				
	At the beginning of the Year	4800	0.27	4800	0.27
	Add: Purchase of shares during the year (Market Purchase)	0	0.00	0	0.00
	Add: Bonus shares	0	0.00	24000	0.00
	Less: Sale of Shares during the year (Market sale)	0	0.00	(600)	(0.01)
	At the beginning of the Year	4800	0.27	28200	0.26
<b>10.</b>	<b>TUSHAR SHANTILAL LUNKAD</b>				
	At the beginning of the Year	400	0.02	400	0.02
	Add: Purchase of shares during the year (Market Purchase)	0	0.00	6500	0.23
	Add: Bonus shares	0	0.00	17500	0.00
	Less: Sale of Shares during the year (Market sale)	0	0.00	(400)	(0.02)
	At the beginning of the Year	400	0.02	24000	0.22

\*Total no. of shares before Bonus issue: 1778550; after bonus share allotment total no. of outstanding paid up shares 10671300.

**v) Shareholding of Directors and Key Managerial Personnel (KMPs)**

Sr. No.	Name	Shareholding at the beginning of the year i.e. as on 01-04-18		Date of allotment	Increase/ Decrease in share-Holding during the year	Reason	Cumulative Shareholding during the year (2018-19)	
		No. of Shares	% of total shares of the Company				No. of Shares	% of total Shares of the Company
<b>A.</b>	<b>DIRECTORS</b>							
1.	Ajayprakash Kanoria	102375	5.76	27.09.2018	511875	Bonus shares	614250	5.76
2.	Alka Kanoria	187365	10.53	27.09.2019	936825	Bonus shares	1124190	10.53
<b>B.</b>	<b>KEY MANAGERIAL PERSONNEL (KMP): NIL</b>							

**vi) Indebtedness**

Indebtedness of the Company, including interest outstanding/ accrued but not due for payment

(Amount in `)

Particulars	Amount (` In crores)			
	Secured loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year (01.04.2018)</b>				
i) Principal Amount	4.2591	0.0141	0.0000	4.2732
ii) Interest due but not paid	0.0000	0.0000	0.0000	0.0000
iii) Interest accrued but not due	0.0000	0.0000	0.0000	0.0000
<b>TOTAL (i +ii + iii)</b>	<b>4.2591</b>	<b>0.0141</b>	<b>0.0000</b>	<b>4.2732</b>
<b>Change in Indebtedness during the financial year</b>				
Addition	81.1939	0.0000	0.0000	81.1939
Reduction	82.5995	0.0000	0.0000	82.5995
Net Change	(1.4056)	0.0000	0.0000	(1.4056)
<b>Indebtedness at the end of the financial year (31.03.2019)</b>				
i) Principal Amount	2.8535	0.0141	0.0000	2.8676
ii) Interest due but not paid	0.0000	0.0000	0.0000	0.0000
iii) Interest accrued but not due	0.0000	0.0000	0.0000	0.0000
<b>TOTAL (i +ii+ iii)</b>	<b>2.8535</b>	<b>0.0141</b>	<b>0.0000</b>	<b>2.8676</b>

Note: Above figures include balances of cash credit account availed from ICICI Bank during F.Y. 2018-19

**vii) Remuneration of Directors and Key Managerial Personnel**
**A. Remuneration to Managing Director, Whole-time Directors and/or Manager**

Sr. No.	Particulars of Remuneration	Name of MD/WTD/Manager		Total Amount
		Ajayprakash Kanoria	Alka Kanoria	
1.	Gross Salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	3,600,000.00	1,200,000.00	4,800,000.00
	(b) Value of perquisites u/s. 17(2) of the Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) of the Income-tax Act, 1961	-	-	-
2.	Stock Option	-	-	-
3.	Sweat Equity	-	-	-
4.	Commission			
	- as % of Profit	-	-	-
	- Others	-	-	-
5.	Others:% of Net Profit	2,762,600.00	2,545,000.00	5,307,600.00
	<b>TOTAL (A)</b>	<b>6,362,600.00</b>	<b>3,745,000.00</b>	<b>10,107,600.00</b>

**B. Remuneration to other directors**

Sr. No.	Name of Director	Particulars of Remuneration		Total Amount Paid (`)
		Fee for attending Board / Committee Meetings	Commission Others, if any specify	
1.	<b>Independent Directors</b>			
a.	Mahesh Mor	24,500.00	0.00	24,500.00
b.	Ravindra Loiya	10,500.00	0.00	10,500.00
c.	P.C. Ramchandran	20,500.00	0.00	20,500.00
	<b>Total (1)</b>	<b>55,500.00</b>	<b>0.00</b>	<b>55,500.00</b>
2.	<b>Other Non-Executive Directors</b>		NA	
	<b>Total (2)</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Total (B)=(1+2)</b>	<b>55,500.00</b>	<b>0.00</b>	<b>55,500.00</b>
	<b>Total Managerial Remuneration</b>	<b>(A+B)</b>		<b>10,163,100.00</b>
	<b>Overall Ceiling As Per The Companies Act, 2013 (As per section 197 and 198)</b>	<b>11% of Net Profit</b>		<b>29300066.06</b>

C. Remuneration To Key Managerial Personnel Other than MD/Manager/WTD

Particulars of Remuneration	Key Managerial Personnel Amount in Lakhs (₹)		
	Shruti Sohane, CS	Vishnu Sontakke, CFO	Total
Gross salary	4.15	6.19	10.34
Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	~	~	~
Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
Stock Option	~	~	~
Sweat Equity			
Commission			
As % of profit	-	-	-
Others, specify	-	-	-
<b>TOTAL</b>	<b>4.15</b>	<b>6.19</b>	<b>10.34</b>

viii) Penalties / Punishment / Compounding of Offences

Type	Section of the Companies Act	Brief Description	Details of Penalty/ punishment/Compounding fees imposed	Authority [RD/NCLT/ COURT]	Appeal made, if any
<b>A.COMPANY</b>					
Penalty					
Punishment					
Compounding			NIL		
<b>B.DIRECTORS</b>					
Penalty					
Punishment					
Compounding			NIL		
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty					
Punishment					
Compounding			NIL		

Nagpur, Saturday July 20, 2019

For and on behalf of the Board of Directors of  
EMKAY TAPS AND CUTTING TOOLS LIMITED

 Ajayprakash Kanoria  
Chairman, Managing Director & CEO