| | Hol | ding of Specif | fied Sec | urities | | | | |
|------------------|--|--|--------------------------------|---|--|----|--|--|
| | | Annexu | re - I | | | | | |
| 1. Nan | ne of Listed Entity: | | EMKAY TAPS | S AND CUTTING TOO | DLS LIMITED | | | |
| 2. Scr | ip Code/Name of Scrip/Class of Security | | SM | EMKAYTOOLS | EQUITY | | | |
| 3. Sha | re Holding Pattern Filed under: Reg. 31(1 |)(a)/Reg. 31(1)(b)/Reg | ,3 1(1)(c) : F | Reg.31(1) (b) | | | | |
| a. If u | nder 31(1)(b) then indicate the report for | Quarter ending | | 30/09/2018* | | | | |
| b. If u | nder 31(1)(c) then indicate date of allotm | ent/extinguishment | | NA | | | | |
| 4. Dec | laration: The Listed entity is required to subr | nit the following declarati | ion to the exte | nt of submission of i | nformation:- | | | |
| | | | | | | | | |
| | Particulars | | | | Yes* No* | | | |
| 1 | Whether the Listed Entity has issued any pa | rtly paid up shares? | | | - | No | | |
| 2 | Whether the Listed Entity has issued any Co | onvertible Securities or W | arrants? | | - | No | | |
| 3 | Whether the Listed Entity has any shares ag | ainst which depository re | eceipts are iss | ued? | - | No | | |
| 4 | Whether the Listed Entity has any shares in | locked-in? | | | _ | No | | |
| 5 | Whether any shares held by promoters are p | pledge or otherwise encu | mbered? | | _ | No | | |
| Securi applic | e Listed Entity selects the option 'No' for the o ties/Warrants, depository receipts, locked-in able, shall not be displayed at the time of diss ted Entity in above table the values will be co ties. | shares, No of shares plece emination on the Stock E | lged or otherv xchange webs | vise encumbered by ite. Also wherever th | promoters, as nere is 'No' declared | l | | |

*Holding Statement showing balances as on September 29, 2018

EMKAY TAPS AND CUTTING TOOLS LIMITED AS ON SEPTEMBER 30, 2018

| | | | | | | Table I - SUM | IMARY STATEMENT I | HOLDING OF SI | PECIFIED SE | CURITIES | | | | | | | | |
|---------------------|------------------------------------|--------------------------------------|---|--|--|---|---|---|----------------------|----------|---|---|--|---|--|--|--------------------------------------|---|
| | Category of shareholder (II) | | No. of fully paid up equity Share held | No.s of | No. of | Total nos. shares held (VII)= (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR,1957) (VIII) As a % of (A+B+C2) | Number of Votting Rights held in each class of securities (IX) | | | | No. of Shares | Shareholding, as a % assuming full conversion | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered | | Number of |
| Cate gory (I) | | No.s of Share holders (III) | | Partly paid-up equity Share held | shares underlying Depository Receipts (VI) | | | No of Voting Rights | | | Outstatin convertibl securities Total (including | Underlying Outstating convertible securities (including | of convertible securities(as a % of diluted share capital) (XI)=(VII)+(X) | | | (XIII) As a % | | equity shares held in dematerialized form |
| | | | (IV) | (V) | | | | Class Equity x | Class Others y | Total | % of (A+B+C) | Warrants) (X) | As a % of (A+B+C2) | No. (a) | As a % of total shares held (b) | No. (a) | of total shares held (b) | (XIV) |
| (A) | Promoter & Promoter Group | 6 | 7954800 | 0 | 0 | 7954800 | 74.5439 | 7954800 | 0 | 7954800 | 74.5439 | 0 | 74.5439 | 0 | 0.0000 | 0 | 0.0000 | 7954800 |
| | Public | 87 | 2716500 | 0 | 0 | 2716500 | 25.4561 | 2716500 | 0 | 2716500 | 25.4561 | 0 | 25.4561 | 0 | 0.0000 | NA | NA | 2761500 |
| . , | Non Promoter-Non Public | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | | 0 | 0.0000 | NA | NA | 0 |
| | Shares underlying DRs | 0 | 0 | 0 | 0 | 0 | NA | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA | NA | 0 |
| | Shares held by Employee Trusts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA | NA | 0 |
| | Total | 93 | 10671300 | 0 | 0 | 10671300 | 100.0000 | 10671300 | 0 | 10671300 | 100.0000 | 0 | 100.0000 | 0 | 0.0000 | | | 10716300 |

EMKAY TAPS AND CUTTING TOOLS LIMITED

| | Table II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP AS ON SEPTEMBER 30, 2018 | | | | | | | | | | | | | | | | | |
|------|---|---------------------------|---|--------------------------------|--|------------------------------|--|--|----------------------|-------------|-----------------------|--|---|---|-----------------------|--|-----------------------|---------------------------------------|
| | Category & Name of the shareholders (I) | No of | No of fully paid up equity Shares held (IV) | Partly paid-up | No. of shares | Total nos. shares held | Shareholding % calculated as per SCRR,1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | | No. of Shares Underlying Outstating | Shareholding, as a % assuming full conversion of convertible | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held |
| | | Share holders (III) | | equity Share held (V) | underlying Depository Receipts (VI) | | | No of Voting Rights | | | Total as a % of | convertible securities (including Warrants) | securities(as a % of diluted share capital) (XI)=(VII)+(X) As a % of | As a % of | | (XIII) As a % of total | | in dematerialized form (XIV) |
| | | | | | | | | Class Equity x | Class Others y | Total | (A+B+C) | (X) | (A+B+C2) | No. (a) | shares held (b) | (a) | shares held (b) | |
| 1 | Indian | | | | | | | | | | | | | | | | | |
| (2) | (a) Individuals/Hindu undivided Family | | | | | | | | | | | | | | | | | |
| ` ′ | AJAYPRAKASH MURLIDHAR KANORIA | 1 | 4622160 | | 0 0 | 4622160 | 43.3139 | 4622160 | 0 | 4622160 | 43.3139 | 0 | 43.3139 | 0 | 0.0000 | 0 | 0.0000 | 4622160 |
| | ALKA AJAYPRAKASH KANORIA | 1 | 1124190 | | 0 | 1124190 | 10.5347 | 1124190 | 0 | 1124190 | 10.5347 | 0 | 10.5347 | 0 | 0.0000 | 0 | | 1124190 |
| | AJAYPRAKASH KANORIA | 1 | 614250 | | 0 0 | 614250 | 5.7561 | 614250 | 0 | 614250 | 5.7561 | 0 | 5.7561 | 0 | 0.0000 | 0 | | 614250 |
| | APOORVASHREE KANORIA | 1 | 1500 | (| | 1500 | 0.0141 | 1500 | 0 | | 0.0141 | 0 | 0.0141 | 0 | 0.0000 | 0 | | 1500 |
| | Total | 4 | 6362100 | | | | 59.6188 | 6362100 | 0 | | | 0 | 59.6188 | 0 | 0.0000 | 0 | | 6362100 |
| | Central Government/State Government(| 1 | 0302100 | | , 0 | 0302100 | 39.0100 | 0302100 | - 0 | 0302100 | 39.0100 | U _I | 39.0100 | U | 0.0000 | U | 0.0000 | 0302100 |
| (b) | central dovernment/ state dovernment | .s) | 0 | | 0 | 1 | 0.0000 | 0 | 0 | 1 0 | 0.0000 | ٥ | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| - | Total | 0 | 0 | | 0 | 0 | | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | | 0 |
| | Financial Institutions/Banks | U | U | 1 | <u>)</u> | U | 0.0000 | U | U | | 0.0000 | U | 0.0000 | U | 0.0000 | U | 0.0000 | U |
| (c) | rmanciai msututions/Banks | ٥ | 0 | | 0 | 0 | 0.0000 | 0 | 0 | 1 0 | 0.0000 | ٥ | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| - | Total | 0 | 0 | | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Any Other (BODIES CORPORATE) | U | U | | , 0 | U | 0.0000 | U | U | U | 0.0000 | U | 0.0000 | U | 0.0000 | U | 0.0000 | U |
| ` ′ | NAGPUR TOOLS PRIVATE LIMITED | 1 | 1591200 | | 0 | 1591200 | 14.9110 | 1591200 | 0 | 1591200 | 14.9110 | ٥ | 14.9110 | 0 | 0.0000 | 0 | 0.0000 | 1591200 |
| | ADISHREE ENGG PVT LTD | 1 | 1591200 | | 0 | 1500 | 0.0141 | 1591200 | 0 | | 0.0141 | 0 | 0.0141 | 0 | 0.0000 | 0 | | 1591200 |
| | Total | 2 | 1592700 | 0 | | | 14.9251 | 1592700 | 0 | | 14.9251 | 0 | 14.9251 | 0 | 0.0000 | 0 | | 1592700 |
| | Sub-Total (A)(1) | 6 | 7954800 | | 0 0 | | 74.5439 | 7954800 | 0 | | | 0 | 74.5439 | 0 | 0.0000 | 0 | | 7954800 |
| | Foreign | U | 7734000 | | , 0 | 7734000 | 74.3437 | 7734000 | - 0 | 7734000 | 74.3437 | <u> </u> | 74.5457 | - 0 | 0.0000 | - 0 | 0.0000 | 7734000 |
| | Individuals(Non-Resident Individuals/F | oreign Indiv | viduals) | | | | | | | | | | | | | | | |
| (u) | | n nai | 0 | (| 0 | n | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | n | 0.0000 | 0 | 0.0000 | 0 |
| + | Total | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | | 0 |
| | Government | . 0 | 0 | | ·1 0 | | 0.0000 | - 0 | | | 0.0000 | υ _ι | 0.0000 | | 0.0000 | | 0.0000 | • |
| (e) | | 0 | 0 | ſ | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | n |
| + | Total | 0 | 0 | | 0 | 0 | | 0 | 0 | Ü | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | | 0 |
| | Institutions | . VI | | · | -1 0 | | 3.3300 | · · | | · | 0.0000 | | 0.000 | | 0.0000 | • | 0.0000 | |
| (-) | | 0 | 0 | (| 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | n | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Total | 0 | 0 | | 0 | | | 0 | 0 | | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | | 0 |
| | Foreign Portfolio Investor | <u>. J</u> | | | | | 0.0000 | · · | | | 2.0000 | | 0.0000 | | 2.0000 | • | 2,0000 | |
| (-) | <u> </u> | 0 | 0 | (| 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Total | 0 | 0 | C | 0 | 0 | | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | | 0 |
| | Sub-Total (A)(2) | 0 | 0 | C | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | | 0 |
| | Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2) | 6 | 7954800 | C | 0 | 7954800 | 74.5439 | 7954800 | 0 | | 74.5439 | 0 | 74.5439 | 0 | 0.0000 | 0 | 0.0000 | 7954800 |
| Deta | ils of Shares which remain unclaimed ma | y be given l | hear along with | details such | as number o | of shareholders, o | utstanding shares | held in den | nat/uncla | nimed suspe | nse account | voting rights | which are frozen etc. | | | | | |

⁽¹⁾ PAN would not be displayed on website of Stock Exchange(s).
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

EMKAY TAPS AND CUTTING TOOLS LIMITED

| | Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER AS ON SEPTEMBER 30, 2018 | | | | | | | | | | | | | | | | |
|---|---|--------------------------------------|---|---------------------------------|---|---|-----------------|----------------------|---------|-------------------------------------|---|---|--|-----------------------------------|--|----------|--|
| Category & Name of the shareholders | No.s of Share holders (III) | No. of fully paid up equity | Partly paid-up equity Share held (V) | No.s of shares underlying | Total nos. shares held (VII)= (IV)+(V)+(VI) | Shareholding % calculated as per SCRR,1957) As a % of (A+B+C2) (VIII) | ' I (IX) | | | | No. of Shares Underlying Outstating convertible | Total Shareholding, as a % assuming full conversion of convertible securities(as a | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in |
| (1) | | Share held (IV) | | Depository Receipts (VI) | | | Class Equity | Rights Class Others | Total | Total as a % of Total Voting Rights | securities (including Warrants) (X) | percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total shares held | No. As a % of total applicable) shares held | | dematerialized form (XIV) |
| | | | | | | | x | y | | _ | | | | (b) | (a) | (b) | |
| 1 Institutions | | | | | | | | | | | | | | | | | |
| (a) Mutual Funds | 0 | 0 | 0 | 0 | | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA | | 0 |
| (b) Venture Capital Funds | 0 | 0 | 0 | 0 | | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA NA | NA NA | 0 |
| (c) Alternate Investment Funds (d) Foreign Venture Capital Investors | 0 | 0 | 0 | 0 | 1 (| 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 0.0000 | 0 | 0.0000 | NA NA | | 0 |
| (e) Foreign Portfolio Investors | 0 | 0 | 0 | 0 | | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA NA | NA NA | 0 |
| (f) Financial Institution/Banks | 0 | 0 | 0 | 0 | | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA NA | | 0 |
| (g) Financial Institution/Banks | 0 | 0 | 0 | 0 | | | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA NA | | 0 |
| (h) Provident Funds/Pension Funds | 0 | 0 | 0 | 0 | | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA NA | NA NA | 0 |
| SUB TOTAL (B)(1) | 0 | 0 | 0 | 0 | | | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | | NA NA | | 0 |
| 2 Central / State government(s) | U | U | U | | ' | 0.0000 | U | U | U | 0.0000 | U | 0.0000 | | 0.0000 | IVA | INA | - 0 |
| Central Government/ State Government(s)/President | | l I | | | | 1 | | 1 | | 1 | | | | | | | |
| (a) of India | 0 | 0 | 0 | 0 | (| 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA | NA | 0 |
| SUB TOTAL (B)(2) | 0 | 0 | 0 | 0 | | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA | NA | 0 |
| 3 Non-institutions | <u> </u> | ı • | <u> </u> | | 'L | 0.0000 | · · | <u> </u> | | 0.0000 | V _I | 0.0000 | | 0.0000 | 1471 | 14/1 | |
| (a.1 Individuals - i. Individual shareholders holding | 70 | 378678 | 0 | 0 | 378678 | 3.5486 | 378678 | 0 | 378678 | 3.5486 | 0 | 3.5337 | 0 | 0.0000 | NA | NA | 378678 |
|) nominal share capital up to Rs. 2 lakhs. | | | | - | | | | | | | | | | | | | |
| (a.2 INDIVIDUAL - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 9 | 1271400 | 0 | 0 | 1271400 | 11.9142 | 1271400 | 0 | 1271400 | 11.9142 | 0 | 11.8642 | 0 | 0.0000 | NA | NA | 1271400 |
| BRAJKISHORE H AGRAWAL | 1 | 180000 | 0 | n | 180000 | 1.6868 | 180000 | n | 180000 | 1.6868 | n | 1.6868 | 0 | 0.0000 | NA | NA | 180000 |
| NAGINADEVI BRAIKISHORE AGRAWAL | 1 | 244800 | 0 | 0 | 244800 | | 244800 | 0 | 244800 | 2.2940 | 0 | 2.2940 | 0 | 0.0000 | NA NA | NA NA | 244800 |
| NIKHIL S AGRAWAL . | 1 | 134400 | 0 | 0 | 134400 | | 134400 | 0 | 134400 | 1.2595 | 0 | 1.2595 | 0 | 0.0000 | NA NA | NA NA | 134400 |
| RAHIM MANSOOR KHAN | 1 | 513600 | 0 | 0 | 513600 | | 513600 | 0 | 513600 | 4.8129 | 0 | 4.8129 | 0 | 0.0000 | NA NA | NA NA | |
| (b) NBFCs registered with RBI | 0 | 0 | 0 | 0 | 0 013000 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA | | 0.13000 |
| (c) Employee Trusts | 0 | 0 | 0 | 0 | i i | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA NA | | 0 |
| (d) Overseas Depositories (holding DRs) (balancing figure) | 0 | 0 | 0 | 0 | | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA | NA | 0 |
| (e) Any Other(BODIES CORPORATE) | 4 | 1051200 | 0 | 0 | 1051200 | 9.8507 | 1051200 | n | 1051200 | 9.8507 | n | 9.8507 | n | 0.0000 | NA | NA | 1051200 |
| SUDARSHAN TRADING AND MANUFACTURING PRIVATE | 1 | 1031200 | 0 | 0 | 1031200 | | 1031200 | 0 | 1031200 | 9.6933 | 0 | 9.6933 | 0 | 0.0000 | NA NA | NA NA | 1031200 |
| (e) Any Other (CLEARING MEMBER) | 4 | 15222 | 0 | n | 15222 | 0.1426 | 15222 | n | 15222 | 0.1426 | n | 0.1426 | n | 0.0000 | NA | NA | 15222 |
| SUB TOTAL (B)(3) | 87 | | 0 | | 2716500 | | 2716500 | 0 | 2716500 | 25.4561 | 0 | 25.4561 | <u>0</u> | 0.0000 | NA NA | | 2716500 |
| Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3) | 87 | 2716500 | 0 | 0 | 2716500 | | 2716500 | 0 | 2716500 | | 0 | 25.4561 | 0 | 0.0000 | NA NA | NA NA | 2716500 |
| Details of the shareholders acting as persons in Concert incl | uding their Shar | reholding (No. and | 1%): 0 | | L | | | | | | | | | | | | |

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

⁽¹⁾ PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to be disclosed along with the name of following persons:
Institutions/Non Institutions holding more than 1% of total number of shares.

EMKAY TAPS AND CUTTING TOOLS LIMITED

| | | | | Т | able IV - ST | ATEMENT SH | OWING SHAREHOLD | DING PATTERN OF T | HE NON PR | OMOTER - N | ON PUBLIC | SHAREHOL | DER AS ON SEPTI | EMBER 30, 2018 | | | | | | | |
|---|---|-------------|-------------------------------------|------------------------------------|---|---------------------------------------|---|--|----------------------|---------------------------------|----------------------------------|--|--|----------------|--------------------------------|--|--------------------|---|--|--|---------------------|
| | Category & Name of the shareholders (I) | | | | | No. of fully | Partly | No.s of shares | Total no. | Shareholding % calculated as | 1 | lumber of V held in ea secu (E | ch class of rities | ts | No. of Shares Underlying | Total Shareholding, as a % assuming full conversion | Lock sha | ber of sed in ares (II) | Number of Shares pledged or otherwise encumbered | | Number of equity |
| | | PAN (II) | No. of Share holders (III) | paid up equity Share held | paid-up equity Share held (V) | underlyin g Depositor y Receipts (VI) | shares held (VII)= (IV)+(V)+(VI) | per SCRR,1957) As a % of (A+B+C2) (VIII) | | | Total as a % of (A+B+C) | Outstating convertible securities (including Warrants) | of convertible securities(as a % of diluted share capital) | () | | (XIII) | | shares held in dematerialized form | | | |
| | | | | (IV) | | | | | | | | | (XI)=(VII)+(X) As a % of (A+B+C2) | No. | As a % of total | (Not | As a % of total | (XIV) | | | |
| | | | | | | | | | Class Equity x | Class Others y | Total | | | | (a) | shares held (b) | applicable) (a) | shares held (Not applicable) (b) | | | |
| 1 | Custodian/DR Holder | ı | • | | | | | | | | | | | | | ! | • | • | | | |
| I | | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA | NA | V 0 | | |
| | Total Non Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2) | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA | NA | | | |

- Note
 (1) PAN would not be displayed on website of Stock Exchange(s).
 (2) The above format needs to disclose bame of all holders holding more than 1% of total number of shares.
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.